

MOORABOOL
Wind Farm

Moorabool South Wind Farm

Application for Electricity Generation Licence

Prepared for: Essential Services Commission, Victoria

8 February 2019

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Document History

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Glossary

AEMO	Australian Energy Market Operator
BOP	Balance of Plant
Connection Assets	Connection Assets has the same meaning given to it in the Interface Deed.
EI Act	Electricity Industry Act 2000
ETS	Elaine Terminal Station
EPC	Engineering, Procurement and Construction
ESC	Essential Services Commission
ESC Act	Essential Services Commission Act 2001
ESCV	Essential Services Commission Victoria
Goldwind	Xinjiang Goldwind Science & Technology Co., Ltd (with stock identifiers 002202 on the Shenzhen Stock Exchange and 02208 on the Hong Kong Stock Exchange)
GWA	Goldwind Australia Pty Ltd
GWCA	Goldwind Capital (Australia) Pty Ltd
GWI	Goldwind International Holding (HK) Pty Ltd
MNWF	Moorabool North Wind Farm
MSWF	Moorabool South Wind Farm
MSWFPL	Moorabool South Wind Farm Pty Ltd
MSWFHPL	Moorabool South Wind Farm (Holding) Pty Ltd
MWF	Moorabool Wind Farm
MWFPL	Moorabool Wind Farm Pty Ltd
MWFHPL	Moorabool Wind Farm (Holding) Pty Ltd
MWFIC	Moorabool Wind Farm Interface Company Pty Ltd
NEM	National Electricity Market
RET	Renewable Energy Target

SHWFPL	Stockyard Hill Wind Farm Pty Ltd
WOM	Warranty, Operations and Maintenance
WTG	Wind Turbine Generator

1. Information on the Applicant and the Nature of Application

Moorabool South Wind Farm: Application for Electricity Generation Licence

1.1 Introduction

In accordance with the *Guidance Notes for Applications for Electricity Licences and the Transfer of Existing Electricity licences* published by the Essential Services Commission and dated November 2006, Moorabool South Wind Farm Pty Ltd (MSWFPL) wishes to apply for a licence to generate and supply electricity.

MWF is located approximately 5km south of Ballan, and approximately 67km west of Melbourne, Victoria. The project has development approval of up to 107 wind turbines over two sections:

- the Bungeeltap Section (or Moorabool North Wind Farm, MNWF, being a separate generation license applicant) towards the north of the project site which consists of up to 50 WTGs; and
- the Ballark Section (or Moorabool South Wind Farm, MSWF) to the south which consists of up to 57 WTGs.

MSWFPL is developing and owns the MSWF, and a separate entity MWFPL is developing and owns the MNWF. MNWF and MSWF are collectively herein referred to as MWF.

In addition to the WTGs, MSWF will include the construction of an underground and overhead 33kV electrical reticulation network. MWF will connect to the 220kV/132kV Elaine Terminal Station (ELTS), to connect to the National Electricity Grid. To facilitate this connection to the ELTS, an above ground 132 kV transmission line between the MSWF and the grid connection point in ELTS (18km from MNWF and 12km from MSWF) will be constructed.

MSWFPL is 100% owned by Goldwind (see section 1.2 for more information on corporate ownership). Goldwind has a leading role in delivering customised energy solutions to meet customer's diverse profiles and needs on a global scale. Goldwind's current global installed wind power capacity is 38GW, with approximately 25,000 installed wind turbine generators (including 21,000 units using advanced permanent magnet direct drive technology) in 17 countries on six continents. Specialising in utility scale projects, Goldwind adapts its best-in-class technology to fit a variety of project opportunities. Goldwind WTGs will be installed across MWF and more information on the use of Goldwind technology in Australian wind farm projects is presented in section 5 of this application.

MSWFPL commenced construction in August 2018.

1.2 Information on the Applicant

Legal Name	MOORABOOL SOUTH WIND FARM PTY LTD
ACN	136 355 863
Registered Business Address	Suite 2 Level 23, 201 Elizabeth Street, Sydney NSW 2000
Postal Address	Same as above
Contact Person	Vincent Qiao Investment Delivery Manager – Moorabool Wind Farm [REDACTED]

1.2.1 Ownership

As presented in Figure 1 below, MWFPL and MSWFPL are 100% owned by holding companies incorporated in Australia: MWFHPL owns MNWF and MSWFHPL owns MSWF. Each of these holding companies are owned by GWI. These companies are in turn owned by Goldwind International (GWI).

MWFIC is jointly owned by MWFPL and MSWFPL and has been established to facilitate the construction, operation, maintenance and sharing of Connection Assets that allow both MNWF and MSWF to connect to the national electricity network. MWFIC will separately register the MNWF and MSWF as a single generating system at the NEM connection point. MWFIC will procure all electricity delivered from MNWF and MSWF to the NEM connection point and immediately on-sell that electricity to AEMO in the NEM.

A Moorabool Wind Farm Interface Deed (*Interface Deed*¹) has been drafted to govern the arrangements between MWFPL, MSWFPL and the MWFIC. The Interface Deed provides for:

- MWFIC to be jointly managed by MWFPL and MSWFPL.
- MWFIC to procure the construction, operation and maintenance of the Connection Assets.

MWFIC will:

- hold the grid connection agreement.
- operate in the electricity market.
- apportion the grid connection annuity proportionately between MWFPL and MSWFPL.
- be owned 50/50 by MWFPL and MSWFPL.
- be registered with AEMO.

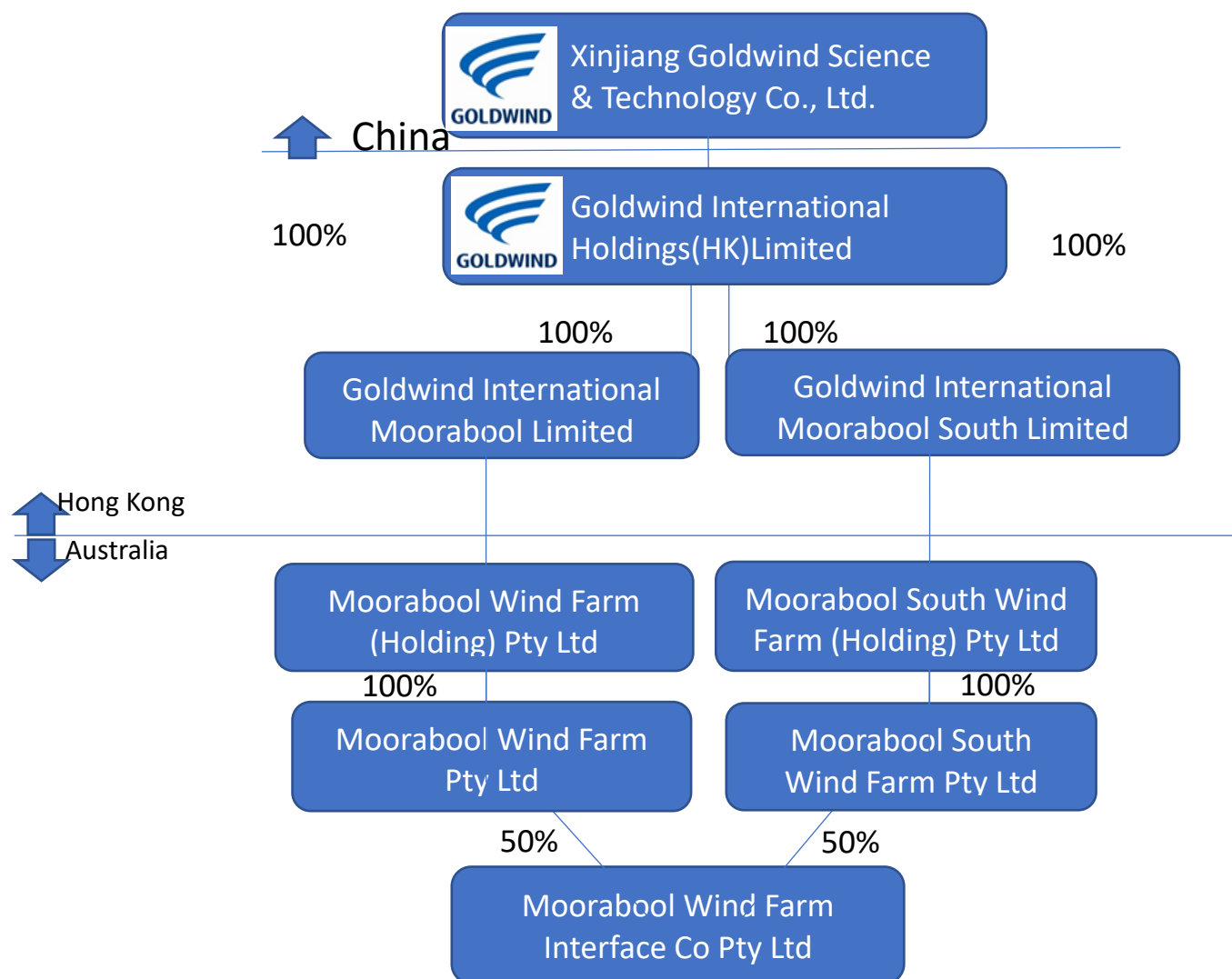


Figure 1 Corporate ownership structure of MWFIC

1.3 Details of contracts

MSWFPL entered in to an EPC contract (see attachment 57) with GWA, a subsidiary of GWI for the construction of the MSWF. Under the agreement, GWA executed a turbine supply agreement for the procurement of the turbines and towers and have engaged and are managing sub-contractors to complete the balance of plant works.

¹ Attachment 1 - Moorabool Wind Farm Interface Deed

MWFIC entered into a suite of agreements relating to the construction and ongoing service and operation of infrastructure to connect to the national electricity grid (the **Grid Connection Agreements**) on 13 March 2018, including:

- Use of System Agreement²
- Project Agreement³
- Connection Services Agreement⁴
- Project Coordination Deed⁵

To cover maintenance of MSWF during the first 10 years of operations, MSWFPL will enter into a WOM⁶ contract with GWA. Under the WOM contract, MSWFPL may extend beyond the 10-year term by a further period of 5 years.

Figure 2 below presents a project contracts structure detailing these contract arrangements.

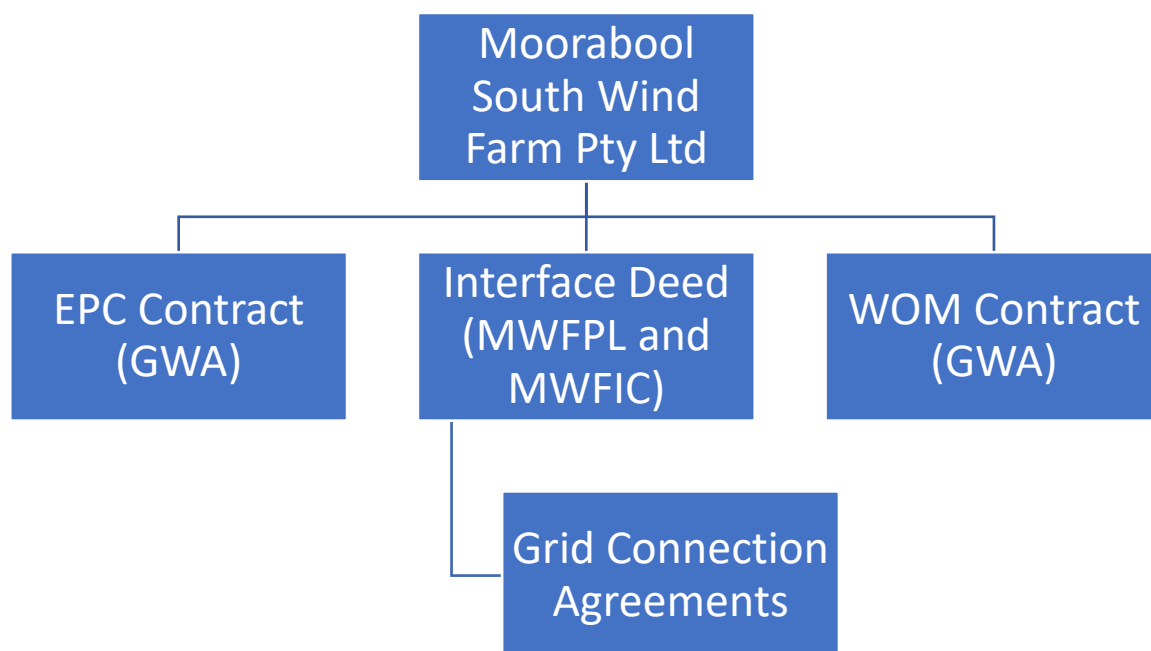


Figure 2 project contracts structure of the Moorabool South Wind Farm

1.3.1 Summary of experience of key personnel

As mentioned above, operation and maintenance of MSWF will be provided by GWA via a WOM contract. The following personnel will form a key part of the WOM team who will operate and maintain the WTGs at MSWF.

Rob Brady

Rob has more than 25 years' experience within a Service and Maintenance environment. His knowledge stems from his early working career employed as an electrical technician servicing large industrial fire protection systems installed within petro-chemical plants, large manufacturing facilities and multi-story commercial buildings to more recent duties such as the management of sites like the Thames flood barrier in London and the Dungeness Nuclear Power Station in South East England. For most of his working life, Rob has been involved in servicing products for large commercial customers across the construction, manufacturing industries and within the building services sector.

² Attachment 47 Use of Service Agreement

³ Attachment 48 Project Agreement

⁴ Attachment 49 Connection Services Agreement

⁵ Attachment 50 Project Coordination Deed

⁶ Attachment 64 WOM Contract

Rob first moved into a managerial role more than 10 years ago, since that time he has been employed by large, multi-national organisations. Commencing his career in electrical service, he has continued to gain considerable experience in customer management, contract management and employee development. Rob has worked closely across organizational departments such as Technology R&D, Engineering, Sales and Finance.

Matt Dickie

Matt is a professionally qualified Mechanical Engineer with over 20 years' experience. Matt has over seven years' experience in the wind industry, working at Vestas Australia in service. At Vestas, Matt managed service contracts for the Lake Bonney 1, 2, 3 and Alinta wind farms for Infigen Energy, and Canunda windfarm for Engie.

Matt looked after 189 turbines amounting to 413 MW. Matt also rolled out Lean to all Vestas windfarms in Australia and New Zealand during this period and was involved in several initiatives to improve Vestas' service offering, using his six-sigma blackbelt qualification. Prior to Vestas, Matt held several positions at Ford and Prodrive, in engineering and management roles. Matt joined GWA in October 2016 as Service Manager, and is responsible for Mortons Lane Wind Farm, Gullen Range Wind Farm, and White Rock Wind Farm.

Travers Wilson

Travers has 20 years' experience in the renewable energy, power and industrial services sectors, working within operations, maintenance and project delivery for various technologies including Wind, Hydro, Solar Thermal and Co-generation.

Travers previously worked for WorleyParsons for 5+ years as site lead for distributed Combined Heat & Power and Hydro-electric turbines sites, within the Sydney Water Renewable Energy Generating Alliance.

More recently, working for TW Power services, managing projects and technical assignments for various clients including Wind Farm O&M Contract Transition Manager (Pacific Hydro), Capital Spend Review Technical Lead for Appin and Tower Power Station's (South 32), Project Manager for Cogeneration O&M Technical Review (Allwater S.Aus), Project Manager for Liddell Solar Thermal Recommissioning and Operations (AGL), Project Manager for Hau Nui Wind farm EoC Inspections (Genesis Energy, NZ), Project Manager for Wind Turbine Integrity Inspection (Ratch Corporation Australia).

Please refer Attachment 8 for summary of directors and key personnel.

1.4 Information regarding application

1.4.1 Type of licence sought

MSWFPL is applying for a licence from ESCV to generate electricity from the MSWF for supply or sale to the NEM through the intermediary MWFIC.

1.4.2 Date from which Licence is sought

4th March 2018

1.4.3 Nature and scope of operations for which the licence is sought

MSWF will consist of 54 Goldwind WTGs capable of generating a combined total of up to 162 MW.

MSWF is being constructed on crop/gazing land leased from local landowners. Land required to construct MSWF have been secured under the land agreements between the relevant landowner and MSWF listed in Attachment 34. The electricity generated at MSWF will be sold to the NEM through intermediary MWFIC which has applied for separate license to sale electricity in NEM.

Land required for Transmission line route, where it's not a part of the wind farm lease, is secured by easements or consent from DELWP in relation to crown land (please see Attachment 46 for DELWP consent). Please also refer to Attachment 34 for copies of these land agreements. Please see Attachment 45 for the consent from DELWP which gives MWF permission to use roads owned by the Victorian Government.

1.4.4 Details of current or former licences held in this and/or other jurisdictions

MSWFPL has no current or expired licence in Victoria or any other jurisdictions.

1.4.5 Previous unsuccessful licence applications sought in this and/or any other Jurisdictions

None

1.4.6 Licences held by associates of the applicant

Goldwind, the ultimate owner of MWFPL and MSWFPL, also owns Stockyard Hill Wind Farm Pty Ltd (SHWFPL). An electricity generation licence (Attachment 33) has been issued by the ESC to SHWFPL on 24 October 2012.

1.4.7 Licence Conditions

MSWFPL is not seeking any non-standard licence conditions.

1.4.8 Incorporation details

The Certificate of Registration for MSWFPL is provided in Attachment 9.

The Certificate of Registration for MSWFHPL is provided in Attachment 10.

The Constitution of MSWFPL is provided in Attachment 28.

The Constitution of MSWFHPL is provided in Attachment 32.

2. The Objective of the Commission

The objective of the Commission set out under sub-section 8(1) of the ESC Act is “to promote the long-term interests of Victorian consumers in performing its functions and exercising its powers” (**Objective**).

The applicant believes that the granting of the licence to MSWFPL is consistent with this Objective as MSWFPL is a financially viable generator for the following reasons:

a) Price, quality and reliability

As a wind energy generator, MSWF will increase and diversify the supply, quality, reliability, enhance competition and help in reducing the cost of energy in Victoria.

b) The efficiency in regulated industries and the incentive for efficient long-term investment

MSWF is an efficient long-term electricity industry investment for the state of Victoria as it will augment part of the national electricity network, contribute towards meeting the RET set out by Australian Government and the Victorian renewable energy target set by the Victorian government. MSWF has an intended operational life of at least 20 years.

c) The financial viability of the industry

MSWF will make a positive contribution to the financial viability of the AEMO. Also, MSWF is a financially viable generator, underpinned by long-term contracts, and supported by major industry players.

d) The degree of, and scope for, competition within the industry, including countervailing market power and information asymmetries

The granting of the licence is consistent with the objective as it will result in the creation of new electricity generation and will improve the competitiveness of renewable electricity power generation in the national electricity market. Increased electricity supply has the potential to lower market spot prices.

e) The relevant health, safety, environmental and social legislation applying to the industry

The granting of the licence is consistent with the objective having regard to this matter because the development and operation of MSWF will be subject to all relevant health, safety, environmental and social legislation applying to the Victorian electricity industry. The externalities of electricity generated by MSWF, as a wind farm, including health, safety, environmental and social costs, will be low.

The Minister for Planning originally granted planning approval for the MWF in 2010. Since then, the Planning Permit has been amended to facilitate larger WTGs to be installed at the site, and administrative amendments. The latest version of the Planning Permit is available to the public at the MWF website (<http://mooraboolwindfarm.com/the-project/project-approvals>) and have also been included in attachment 11.

f) The benefits and costs of regulation (including externalities and the gains from competition and efficiency) for

i. Consumers and users of products or services (including low income and vulnerable consumers)

MSWF is forecast to generate approximately 590,000 MWh of electricity annually which will allow consumers and users of electricity (including low income and vulnerable consumers) to receive the benefits of increased renewable electricity supply. These benefits include reduced cost, and increased reliability and quality of electricity supply, including environmental and health costs.

ii. regulated entities;

The granting of the generation licence to MSWFPL is consistent with this objective as granting of the licence will minimise the costs of regulation to MSWFPL and allow other regulated entities such as electricity retailers to access the benefits of increased renewable generation capacity.

g) Consistency in regulation between States and on a national basis

The granting of the generation licence to MSWFPL is consistent with this objective as MSWFPL is bound by the rules set by the Australian Energy Regulator under national energy legislation and rules. Electricity generated by MSWF will be sold into the wholesale spot market. MSWF will also generate large-scale generation certificates (LGCs) under the Renewable Energy (Electricity) Act 2000.

h) Any matters specified in the empowering instrument

As per section 10 of the EI Act, the objectives of the Commission include “to promote the development of full retail competition”.

- The granting of the generation licence is consistent with these objectives as investment in generation capacity will increase the supply of electricity available to be purchased by retailers, which will increase the viability of retailers and allow them to compete more effectively, promoting the development of full retail competition.

3. Information on Financial Viability

MSWFPL can meet and address the financial viability requirement. Supporting details are provided to the ESCV in the Attachments on a commercial-in-confidence basis, but may be summarised as follows:

- MSWF is 100% owned by Goldwind (refer figure 1), a global WTG manufacturer. Goldwind holds more than 38 GW of renewable generation capacity installed globally. Attachment 37 shows the ownership structure of MSWF and that MSWFH owns 100% of the ordinary shares in MSWF
- As the end of Dec 31st, 2016, Goldwind’s international contract capacity (i.e. capacity outside of China) almost reached 2GW and more than 700 units of WTGs delivered to nearly 20 countries across six continents.
- In the year 2016 Goldwind is ranked No.3 in the world for new wind turbine installation capacity, with 6.4 GW newly installed during the year 2016.

3.1 Current balance sheet and financial data

The Balance sheet of MSWF is provided in attachment 12⁷.

The Balance sheet of MSWF (Holding) is provided in attachment 13⁸.

Please see Attachment 43 for MWF audited financial report for the year ended 31 Dec 2017.

3.2 Annual Report

The annual report of MSWFPL and MSWFHPL is provided in attachment 14⁹. Please refer section 1.4.6 and 5.1 for other Wind Farm constructed, owned and operated by Goldwind in Australia.

The annual report of parent company Goldwind provided in attachment 26¹⁰.

3.3 Credit Rating

Goldwind, the ultimate parent company has the credit rating BBB- which means MWFPL has adequate capacity to meet its financial commitments.

⁷ Attachment 12 Standard Balance Sheet - MSWF

⁸ Attachment 13 Standard Balance Sheet - MSWF (Holding)

⁹ Attachment 14 MSWF_Financial Report (SIGNED)

¹⁰ Attachment 26 Xinjiang Goldwind Annual Result

3.4 Statements from internal/external auditors

Independent Auditor's report and Auditor's Independence Declaration to the Directors of MSWFHPL from Ernst & Young is provided in the attachment 14¹¹ as a part of MSWFPL financial report.

3.5 Shareholder register

The Register of Members of MSWFPL is attachment 30¹², and the Register of Members of MSWFHPL is attachment 31¹³

3.6 Certificate of registration

Registration certificate for MSWFPL and MSWFHPL provided in the attachment 9¹⁴ and 10¹⁵ respectively.

3.7 Statements from banks/financiers, shareholders, the board or the parent Company

The sources of funds provided in the Financial Model (attachment 27 and 38¹⁶) is based on our industry experience and from financing other Australian wind farm projects including SHWF, White Rock Wind Farm and Gullen Range Wind Farm. Funding is generally expected to be in 2 parts, equity (approximately 30% of capital expenditure) and a bank/credit facility (for approximately 70% of capital expenditure).

For more details please refer to tab "Project Overview" in attachment 38 Financial Model Information.

3.8 Assurance of sufficient financial resources

MSWFPL assures the commission that it has sufficient financial resources to sustain its operations including meeting all prudential requirements set by AEMO Credit support letter issued by AEMO and we are in process of issuing the bank guarantee to AEMO and transmission network service operators.

4. Information on Technical Capacity

MSWFPL will engage the necessary expertise, knowledge and skill base to operate a viable electricity generation business. GWA has a strong track-record of successfully constructing, owning and operating a viable wind and solar farms in Australia.

Operation and maintenance of MSWF will be provided by GWA via the WOM contract. GWA operates and maintains over 350MW of wind farm generation capacity in Australia. Goldwind utilises over 20 years of experience in all aspects of windfarm development including planning, construction, manufacturing and operation. Goldwind has relevant internal controls, policies and procedures in place to ensure operation and maintenance obligations set in relevant licence conditions, codes, guidelines and rules are met, as evidenced by the attached supporting documents see attachment 16, 17, 18 and 19¹⁷.

4.1 Organisational chart of key personnel with details of experience and knowledge

Refer to section 1.3.1 and attachment 8¹⁸.

4.2 Contracts with external service providers

Refer to section 1.3

4.3 Statements from industry bodies and regulators

Refer to section 5.5.

¹¹ Attachment 14 MSWF_Financial Report (SIGNED)

¹² Attachment 30 Register of Members MSWFPL

¹³ Attachment 31 Register of Members MSWFHPL

¹⁴ Attachment 9 Certificate of Registration - MSWF

¹⁵ Attachment 10 Certificate of Registration - MSWF (Holding)

¹⁶ Attachment 27 and 38 Financial Model Information MSWF

¹⁷ Attachment 16,17,18 and 19 (Environment, WHS, quality and privacy policy)

¹⁸ Attachment 8 Summary of directors and key personnel

4.4 Internal controls, policies and procedures

MWF will be constructed and operated in accordance with the internal controls, policies and procedures of GWA, tailored for the specific requirements of MWF. These policies are consistent with industry standards and best practice.

Internal controls, policies and procedures include:

- Moorabool Wind Farm Environmental Management Plan (See Attachment 15¹⁹)
- Goldwind Australia HSEQ Risk Management Procedure (See Attachment 23²⁰)
- Moorabool South Wind Farm Construction WHS Management Plan (See Attachment 28²¹)
- Goldwind's OH&S Policy (See Attachment 17)

4.5 Risk management policies

See attachment 23 and 24 for risk management process and risk register respectively. Compliance registers in relation to compliance with planning and generator operational requirements are provided in Attachments 56 and 62 respectively

4.6 Australian Financial Services Licence (if applicable)

MSWF is not required to obtain an Australian Financial Services Licence as it has no PPA and does not intend to undertake any of the activities which require an Australian Financial Services Licence i.e.:

- provide financial product advice to clients
- deal in a financial product
- make a market for a financial product
- operate a registered scheme
- provide a custodial or depository service, or
- provide traditional trustee company services

4.7 Complaints register and procedures

MSWFPL use Darzin platform for complaint management. Darzin is the stakeholder data management software MSWFPL used to record interactions with relevant stakeholders on a per project basis.

Darzin has the following primary functions:

Complaints register

Darzin maintain a complaint register for MSWFPL. This register may be required for scheduled or unscheduled compliance reporting to the relevant government department and/or be required to be published as a public register on the project website.

Record of historic interactions

Historic record of interactions with a stakeholder may be required months or years after the interaction has taken place. This may be for compliance reporting purposes, to assist in dispute resolution or to provide a historic context of a stakeholder's relationship with the project. Having a record of the historic interactions assists with the continuity of relations with a stakeholder even if there is a change of project staff.

¹⁹ Attachment 15 Environmental Management Plan

²⁰ Attachment 23 Risk Management Procedure

²¹ Attachment 29 WHS Management Plan MN-PM-PLN-0027

Database for contact details for stakeholders

All available contact details for stakeholders, including phone numbers, emails etc. The system also captures key stakeholder information which assists with continuity of relations.

MSWFPL will continue to use Darzin as a centralised database for tracking material stakeholder and community interactions during the construction and operational phases of MSWF.

4.8 Privacy statements

MSWFPL commits to keeping information collected during the course of the project private and confidential, as described further in attachment 19 GWA Privacy Policy and attachment 20 Enquiries and Complaints Handling Plan²².

4.9 Document retention policies

MSWFPL retains documents in its document management system for durations that meet the statutory retention periods. Please see Attachment 44 for Control of Records Procedure.

4.10 Industry submissions and results of any research supporting the application

As required by section 3 planning permit, all necessary development plans and the relevant management plans have been approved and endorsed by minister.

5. Information in support of a generation licence application

5.1 Details of experience in and knowledge of the electricity industry

Goldwind WTGs are currently installed in three operational wind farms in Australia: Mortons Lane Wind Farm (19.5MW, located Victoria), Gullen Range Wind Farm (165.5MW, located in southern New South Wales), and the newly commissioned White Rock Wind Farm (170MW, located in northern New South Wales). Each of these wind farms was constructed by GWA as the lead contractor, in accordance with the necessary regulatory requirements.

GWA has established a dedicated local team of highly experienced and qualified operation and maintenance personnel to manage its operating wind farms in Australia. A global logistics network and local supply of strategic spares enables the team to provide fast access to spare parts for our customers. Goldwind operates and maintains approximately 9000 MW of windfarm generation capacity and 38 GW of accumulated installed capacity internationally. Goldwind utilises over 25 years of experience in all aspects of windfarm development including planning, construction, manufacturing and operation. Each wind farm is connected live to the Goldwind Remote Operating Centre – an off-site facility providing real time 24/7 wind farm operations and maintenance monitoring. This enables our technicians the ability to respond to emergency after hour requirements within agreed timeframes. GWA has a national operation and maintenance team comprising of:

- skilled wind turbine technicians
- wind farm operation managers
- high voltage operators
- localised technical support teams
- performance analysts
- warehousing solutions
- real time wind farm monitoring and control
- rapid response programs
- pre-qualified suppliers of goods and services

²² Attachment 19 GWA privacy policy and attachment 20 MB-PM-PLN-0062 MWF Enquiries and Complaints Handling Plan

Goldwind implemented relevant internal controls, policies and procedures in place to ensure operation and maintenance obligations set in relevant licence conditions, codes, guidelines and rules are met.

5.2 A summary of the skills and experience of the directors and senior managers and their relevance to meeting the requirements of the licence

MSWFPL's sole director is Ning Chen. Ning has over 10 years relevant experience working in the energy sector in Australia and over 12 years working with the Goldwind group of companies in various roles including:

- Formulate and implement investment strategies and annual plans;
- Establish and perfect investment management system; and
- Provide systematic solutions related to business development, construction, EPC and service.

Ning played an instrumental role in the establishment of Goldwind's business in Australia. He is a key member of the senior management team in Australia and Goldwind International. He is the Vice President and a director of Goldwind Australia Pty Ltd (ACN: 140 108 390) (GWA), General Manager and a director of Goldwind Capital (Australia) Pty Ltd (ACN: 142 403 950) (GWCA) and Vice President and director of Goldwind International Holdings (HK) Limited (GWI).

Ning leads Goldwind's investment & development team in Australia and manages investment, financing, acquisitions and divestments of Goldwind renewable energy projects in the Asia Pacific region, North America, South America and Europe.

See attachment 8 for more key staff details.

5.3 Evidence that the applicant has the capacity to comply with the licence conditions, codes and guidelines relevant to its application

MSWFPL is confident that it has all necessary expertise to comply with the relevant license conditions, codes and guidelines. The Skills and Experience of Key Personnel, Internal Controls, Policies and Procedures (Attachment 8,16,17 and 18) show the relevance and breadth of experience of the team responsible for MSWF.

5.4 Evidence of any interactions or preliminary registration with AEMO

MWFIC a separate applicant for electricity wholesale license for electricity generated by MNWF and MSWF, on 17th Oct 2018 MWFIC lodged a Generator Registration Application with AEMO and on 24th Oct 2018 AEMO confirmed registration of MWFIC as an intending Market participant in respect to MNWF and MSWF.

MWFIC is progressing with its AEMO registration application with the expectation of registering MWFIC with AEMO by March 2019

5.5 Relationships between supporting organisations

Section 1.3 shows the contractual relationships between the supporting organisations.

5.6 Confirmation that all planning and environmental approvals have been completed

MWF has received Planning Approval from the Minister for Planning in 2010, which was subsequently amended in 2016 and 2018. Please see Attachment 36 for the amended planning permit issued by Department of Environment, Land, Water and Planning (DELWP) on 19th March 2018.

Acting in accordance with condition 3 of the Planning Permit, the development and management plans required by the planning permit have been approved. Please see attachment 21,22 and 40 for the endorsed development and management plans.

The following planning and environmental approvals associated with the 132kV transmission line have also been granted.

- EPBC referral decision associated with the OHL - see Attachment 61.
- The use and develop of a minor utility installation (wires -no poles) over a Public Conservation and Recreation Zone (PCRZ) - see attachment 52.
- Removal of native vegetation associated with a minor utility installation - see Attachment 53.

- Transmission line Cultural Heritage Management Plan - see Attachment 35.

5.7 Risk, governance and compliance management and strategies

MSWFPL has in place risk, governance and compliance management procedures. All risks, including compliance with laws, regulations and permit/licence conditions, are managed under the MSWF Risk Management Plan/Procedures in accordance with ISO 31000:2009(E) and Goldwind's internal controls, policies and procedures. For details of MSWF governance policies (see Attachment 23 - HSEQ Risk Management Procedure and Attachment 24 -Risk Register).

And compliance register see attachment 56 for compliance requirements for permit conditions and approvals and Attachment 62 for regulatory compliance.

5.8 Evidence that demonstrates that the entity can successfully operate a business within the electricity industry

Goldwind as the ultimate shareholder of MSWFPL and GWA as the operator of MSWFPL, have strong track records of successfully operating businesses in the electricity industry. Refer to section 1.1 for details of GWA's track-records of successfully operating in the Australian electricity industry.

6. List of attachments

The attachments below marked commercial-in-confidence are excluded from the public due to the commercially sensitive or confidential information contained therein.

- Attachment 1** Moorabool Wind Farm Interface Deed (commercial-in-confidence)
- Attachment 2** Not used
- Attachment 3** Not used
- Attachment 4** Not used
- Attachment 5** Not used
- Attachment 6** Not used
- Attachment 7** Not used
- Attachment 8** Summary of directors and key personnel (commercial-in-confidence)
- Attachment 9** Certificate of Registration - MSWFPL
- Attachment 10** Certificate of Registration - MSWFHPL
- Attachment 11** Planning Permit 05102017
- Attachment 12** Standard Balance Sheet – MSWFPL (commercial-in-confidence)
- Attachment 13** Standard Balance Sheet - MSWFHPL (commercial-in-confidence)
- Attachment 14** MSWFPL and MSWFHPL Financial Report (commercial-in-confidence)
- Attachment 15** Environmental Management Plan (commercial-in-confidence)
- Attachment 16** Environmental Policy (commercial-in-confidence)
- Attachment 17** WHS Policy (commercial-in-confidence)
- Attachment 18** Quality Policy (commercial-in-confidence)
- Attachment 19** Privacy Policy
- Attachment 20** MB-PM-PLN-0062 MWF Enquiries and Complaints Handling Plan
- Attachment 21** Stage 2A endorsed development plans
- Attachment 22** Stage 2B endorsed development plans
- Attachment 23** Goldwind Australia HSEQ Risk Management Procedure (commercial-in-confidence)
- Attachment 24** Risk Register (commercial-in-confidence)
- Attachment 25** Risk Assessment Report
- Attachment 26** Xinjiang Goldwind Annual Result
- Attachment 27** Financial Model Information MSWF (commercial-in-confidence)

- Attachment 28** Constitution - Moorabool Wind Farm Pty Ltd (commercial-in-confidence)
- Attachment 29** Goldwind Workplace Health and Safety Management Plan for MWF
- Attachment 30** MSWFPL Register of Members
- Attachment 31** MSWFHPL Register of Members
- Attachment 32** Constitution – MSWFHPL
- Attachment 33** Generation Licence – SHWF
- Attachment 34** Land Agreements (commercial-in-confidence)
- Attachment 35** OHPL CHMP Approval
- Attachment 36** Moorabool WF permit amendment
- Attachment 37** MSWF Share Structure
- Attachment 38** Financial Model Enquired Information - MN 180416-v1 (commercial-in-confidence)
- Attachment 39** Not used
- Attachment 40** MSWF Endorsed management plans
- Attachment 41** WR Operational Management Plan
- Attachment 42** Complaints and Enquiries Policy
- Attachment 43** Moorabool South Wind Farm_Financial Report_Final (commercial-in-confidence)
- Attachment 44** Control_of_Records_Procedure
- Attachment 45** Crown land consent
- Attachment 46** DELWP Consent along PCRZ
- Attachment 47** Moorabool - UoSA (commercial-in-confidence)
- Attachment 48** Project Agreement (commercial-in-confidence)
- Attachment 49** CSA (commercial-in-confidence)
- Attachment 50** Amended PCD (commercial-in-confidence)
- Attachment 51** Not used
- Attachment 52** PCRZ Permit
- Attachment 53** Permit PA1700307 - 14.05.2018 NV removal for OHL
- Attachment 55** MWF Operational Management Plan
- Attachment 56** MWF Compliance Register-Planning (commercial-in-confidence)
- Attachment 57** Moorabool South Wind Farm - EPC Contract (commercial-in-confidence)
- Attachment 58** Not used
- Attachment 59** Not used
- Attachment 60** Not used

- Attachment 61** EPBC Referral OHL
- Attachment 62** Compliance Register (commercial-in-confidence)
- Attachment 63** Not used
- Attachment 64** MSWF WOM Contract (commercial-in-confidence)

7. Statutory Declaration

I, Ning Chen of

Suite 2, Level 23, 201 Elizabeth Street, Sydney NSW 2000

being the sole director and sole company secretary

of the Applicant, Moorabool South Wind Farm Pty Ltd (ACN: 136 355 863) Hereby DECLARE that the information contained in this application, and attachments thereto upon which I have placed my signature, for the grant of a Electricity Generation licence under the Electricity Industry Act 2001 is true and correct and that I make this declaration conscientiously believing the same to be true and in the belief that a person making a false declaration is liable to the penalties of perjury.

Declared at Sydney in the State of New South Wales



Signature of Declarant

This day of 11 day of Feb 2019

Before me, Navneet Sharma
(A person authorised by section 107A of the Evidence Act 1958 (Vic))



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Signature of Witness