

# Ampol Energy Retail Standard Complaints and Dispute Resolution Policy

#### 1. Can we help?

1.1. If you have any questions about the information in this Policy - please contact us on [insert website address, email address\_and phone number]

#### 2. Introduction

- 2.1. Ampol Energy (Retail) Pty Ltd ('Ampol Energy Retail'), is an energy retailer operating in ACT, NSW, TAS, QLD, SA, and VIC. This document sets out our procedures for accepting, recording, and resolving complaints.
- 2.2. We are committed to receiving and resolving complaints in an accessible and transparent way. Through this document, we make the commitment to continual quality improvement by taking into consideration the data provided by an effective complaints management system. We will review complaints on an ongoing basis to identify any potential non-compliance and areas for improvement.
- 2.3. The Policy is supported by other Ampol corporate policies and procedures, including:
  - 2.3.1. Code of Conduct.
  - 2.3.2. Privacy and Credit Reporting Policy
  - 2.3.3. Risk Management Policy.
  - 2.4. In this Policy the term "you" or "your" refers to a customer of Ampol Energy Retail.

### 3. Definition of Complaint

- 3.1. A complaint is an expression of dissatisfaction that can be received on the basis of products and/or services provided by us or our agents, contractors, and other representatives, or due to perceived inadequacies in the complaints handling process itself.
- 3.2. We will consider all complaints as opportunities to improve, and they will be freely received by telephone, in writing (for example, letter, email) or in person.
- 3.3. Complaints may be raised in relation to (amongst other areas), any:
  - 3.3.1. Failure by us to observe our published or agreed practices or procedures;
  - 3.3.2. Failure in respect of a product or service offered or provided by us or our representatives;
  - 3.3.3. An instance where you involve or seek information about a third party, such as the jurisdictional energy ombudsman or a Member of the Parliament; and

- 3.3.4. An occurrence where a complaint is directed to us on your behalf by an energy ombudsman.
- 3.4. When a complaint relates to more than a single aspect of our services or products, a separate case reference will be allocated for each aspect.

#### 4. Complaints Management

4.1. The guiding principles for our complaints management program are contained in AS/ISO 10002-2014 (Customer Satisfaction – Guidelines for Complaints Handling in Organisations).

#### 5. Promoting our Complaints Management Program

- 5.1. We will publish this Standard Complaints and Dispute Resolution Policy on our website, and it will be actively brought to the attention of customers.
- 5.2. We are committed to promoting and ensuring the visibility of our complaints management program. To achieve this, we will:
  - 5.2.1. Make this policy available free of charge;
  - 5.2.2. Arrange for an interpreter to expound the procedures and facilitate the resolution of complaints;
  - 5.2.3. Make special arrangements for people with disabilities, ensuring that they and their advocates have access to this procedure and are aided in the resolution of their complaint. The process and other documentation will be available in large print Braille or audiotape on request; and
  - 5.2.4. Ensure that all relevant staff members are trained to resolve complaints and have access to resources for cross-cultural communication and communication with customers with special needs.

# 6. Responsiveness

- 6.1. Once a complaint has been received, one of our personnel will promptly acknowledge the complaint and prioritise it for resolution. Higher priority will be given to those complaints where there is perceived financial hardship, the disconnection of energy supply, and matters relating to health and safety. In such instances, we will respond as soon as possible.
- 6.2. In all instances, we will:
  - 6.2.1. Freely accept complaints lodged on our website, in person, or by telephone, email, or letter;
  - 6.2.2. Acknowledge any complaint received as soon as possible;
  - 6.2.3. Begin an investigation into the reasons for a complaint within 24 hours of acknowledgment;
  - 6.2.4. Keep you updated about the investigation and any proposed resolution;
  - 6.2.5. Notify you as soon as possible of the outcome of our investigation and any proposed resolution; and
  - 6.2.6. Provide you with the option of an internal review of your complaint if you are unsatisfied with the outcome of the investigation or the proposed resolution.

#### 7. Responsibilities

7.1. All of our staff must comply with this procedure as well as the Retail Law and Retail Rules and any Guidelines or Procedures published by the Australian Energy Regulator (AER) or the Australian Energy Market Operator (AEMO). Complaints can be made to any Ampol Energy Retail employee or contractor. That employee or

- contractor is then required to report any complaints received to the Customer Service Manager.
- 7.2. We will ensure that complaint management is not hindered by a lack of resources. We will also ensure that adequate programs are in place to train staff in complaint resolution and the implementation of this procedure.
- 7.3. Senior management of Ampol Energy Retail is responsible for:
  - 7.3.1. Establishing the complaints management program;
  - 7.3.2. Establishing and implementing the process of complaints management;
  - 7.3.3. Allocating resources to ensure compliance with obligations under applicable laws and this policy;
  - 7.3.4. Promoting and advocating a customer-centric approach to complaint resolution;
  - 7.3.5. Reporting to the board on the management of complaints, including the number and nature of complaints received;
  - 7.3.6. Continually reviewing the effectiveness of the complaints management program, including the program's processes; and
  - 7.3.7. Establishing an adequate training program to ensure all relevant staff, agents, contractors, and other representatives comply with this procedure.

#### 8. Recording Complaints

- 8.1. Complaints are recorded in our customer relationship management system as soon as they are received.

  Complaints and all relevant information will be recorded, and a unique identifier will be provided. Each recording will include:
  - 8.1.1. Your requested resolution
  - 8.1.2. The date of your complaint
  - 8.1.3. A description of your complaint
  - 8.1.4. The expected date for a response or resolution
  - 8.1.5. The established priority of your complaint
- 8.2. More than one complaint can be made per communication, whether a call, in writing or in person. For example, if you make a billing complaint and then make a marketing complaint during the same call, two complaints will be recorded. Each individual customer contact with you will be recorded and categorised as one, irrespective of the number of times you have contacted us on an issue.
- 8.3. Complaints will be tracked from the time they are received to their resolution. When tracking the resolution of complaints, we will make sure to meet response deadlines. We will keep you informed and updated regularly throughout the process.

# 9. Monitoring and Improving

- 9.1. Complaints will be tracked at individual and group levels. Any systemic issues that are identified will be brought to the attention of the Compliance Committee and Ampol Energy Retail's board during their monthly meetings.
- 9.2. The board will direct senior management to address systemic issues that are identified during a complaint process.

# 10. A Customer's Right to Complain

10.1. As a customer, you have the right to lodge a complaint at any time. Once your complaint is received, it will be dealt with in accordance with this policy.

#### 11. Internal and External Escalation

- 11.1. At any time, you may request an internal escalation of your complaint, which will be granted. Complaints will also be escalated where they are complex, urgent, or sensitive. When your complaint is received, you will be informed that you have the right to escalate it if you are dissatisfied with the process. Individual staff who manage complaints will be required to review the complexity, urgency, and sensitivity of all complaints and seek assistance from a supervisor or specialist where required.
- 11.2. If you are not satisfied with our response to your complaint or you wish to seek independent advice about your complaint, we will notify you that you can contact the Energy Ombudsman in your State.
- 11.3. We will provide the contact details for the Energy Ombudsman schemes and will assist you in lodging a complaint with them.

#### 12. Our Commitment to Customers

- 12.1. In all instances, we will:
  - 12.1.1. Treat you with respect;
  - 12.1.2. Take any complaint seriously;
  - 12.1.3. Respect your privacy;
  - 12.1.4. Manage your complaint with impartiality;
  - 12.1.5. Properly investigate and report on your complaint;
  - 12.1.6. Resolve your complaint with proper consideration to facts;
  - 12.1.7. Provide the option of internal escalation or a referral to an energy ombudsman scheme when requested or appropriate; and
  - 12.1.8. Ensure equal access to our complaints management program and fair resolutions.
- 12.2. You have rights under various laws and regulations, including the *Competition and Consumer Act 2010* (Cth), and the National Energy Retail Law, National Energy Retail Rules. We will ensure that those rights are respected.

#### 13. Our Commitment to Customers

- 13.1. If you are a "small customer" with an accumulation meter, you have the right to conduct your own meter reading if your bill is based on an estimate. This self-read is known as a "customer read estimate." You can find more information on customer read estimates at [insert link].
- 13.2. Where we have not accepted a customer read estimate, we will notify you of the reasons for the decision and allow the opportunity to rectify the self-read.
- 13.3. We will deal with complaints regarding customer read estimates in accordance with our standard complaints process, which is outlined in this policy.

#### 14. Non-discrimination

14.1. Everyone has the right to make a complaint to us if they are not satisfied with our services or policies. We will not discriminate aggainst anyone as a result of that person making a complaint.

#### 15. Contact Us to Lodge a Complaint

15.1. You may contact us at any time to lodge a complaint using the following contact details:

[insert contact details]

Interpreter: 131 450 - For help using an interpreter, visit TIS.

NRS: 133 677 - For help using this service, visit NRS.

# 16. Contact details for the Energy Ombudsman Schemes

16.1. If you are not satisfied with our response or investigation into a complaint, you may contact the Energy Ombudsman in your State. We will provide you with the contact details of your Energy Ombudsman if needed.

#### **Energy and Water Ombudsman of NSW**

By Post: PO Box 1343, Haymarket NSW 1240.

Freepost: Reply Paid 86550, Sydney South NSW 1234.

Free call: 1800 246 545

Free fax: 1800 812 291

complaints@ewon.com.au

Translating and interpreting service (TIS):131 450

National Relay Service: 133 677 - For help using this service, visit NRS.

#### **Energy and Water Ombudsman Queensland**

Freecall: 1800 662 837

Translation services: 131 450 - For help using an interpreter, visit TIS.

National Relay Service: 133 677 - For help using this service, visit NRS.

Fax: (07) 3006 2670

Online: http://www.ewoq.com.au/submit-a-complaint

Email: complaints@ewoq.com.au or info@ewoq.com.au

In person: Level 16/53 Albert Street, Brisbane City QLD 4000

#### **Energy and Water Ombudsman South Australia**

Freecall: 1800 665 565

National relay service: 133 677

Translating and interpreting service (TIS):131 450

Post: GPO Box 2947 Adelaide SA 5001

Office address (strictly by appointment only): Level 11, 50 Pirie Street Adelaide SA 5000

Online: <a href="https://ewosa.com.au/submit-a-complaint">https://ewosa.com.au/submit-a-complaint</a>

#### **Energy and Water Ombudsman Tasmania**

Freecall: 1800 001 170

National relay service: 133 677

Translating and interpreting service (TIS):131 450

Post: GPO Box 960 Hobart TAS 7001

Office address (strictly by appointment only): Level 11, 50 Pirie Street Adelaide SA 5000

Online: https://ewosa.com.au/submit-a-complaint

#### **Energy and Water Ombudsman Victoria**

Freecall: 1800 500 509

Post: Reply Paid 469, Melbourne, VIC, 8060

Free Fax: 1800 500 549

Online: http://www.ewov.com.au

Email: <a href="mailto:ewovinfo@ewov.com.au">ewovinfo@ewov.com.au</a>

Translating and interpreting service (TIS):131 450

#### **ACT Civil and Administrative Tribunal (ACAT)**

Phone: (02) 6207 7740

DX5691, GPO Box 370, Canberra ACT 2601

Fax: 1800 500 549

Online: <a href="http://www.acat.act.gov.au">http://www.acat.act.gov.au</a>

Email: ACATenergycomplaints@act.gov.au

Translating and interpreting service (TIS):131 450

# Document change history

Version number	Conducted by	Approved by	Date	Description of changes
1	Cedric von Duering	Board	XX/XX/2021	